



RECEIVED

NOV 21 2001

PTO/SB/08A (08-00)

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office, DEPARTMENT OF COMMERCE
Technology Center 2100

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/972,541
				Filing Date	10/8/01
				First Named Inventor	Dan Bolar
				Group Art Unit	2184
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	10010463-1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MM	A	09/345,634 Fault Management system and Method, Maarten Tentij et al., filed 6/30/99	
	B	09/469,025 System and Method for Policy-Based Network Management, Maarten Tentij, filed 12/21/99	
	C	09/770,427 System and Method for Managing a Communication Network Utilizing State-Based Polling, Semih Secer, filed 1/26/01	
	D	09/816,693 Object-Driven Network Management System Enabling Dynamically Definable Management Behavior, Semih Secer, filed 3/22/01	
	E	09/945,111 System and Method for Flexible Processing of Management Policies for Managing Network Elements, Gary R. Klein et al., filed 8/31/01	
	F	09/945,112 User-Friendly System and Method for Defining Policies for Managing Network Elements, Gary R. Klein et al., filed 8/31/01	
MM	G	09/945,372 System and Method for Defining Management Policies for Managing Network Elements, Gary R. Klein et al., filed 8/31/01	

Examiner Signature	<i>Michael Madhukar</i>	Date Considered	9/28/04
--------------------	-------------------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

25081658.1

BEST AVAILABLE COPY